

3-16-01

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PTO/SB/05 (08-00)
Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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|-------------------------------------------------------------------------------------------------------------------------------------|------------------------|-----------------------------------------------------------------------|
| UTILITY PATENT APPLICATION TRANSMITTAL <i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i> | Attorney Docket No. | P01963US1 |
| | First Named Inventor | P. R. Montague |
| | Title | METHOD AND SYSTEM FOR LINKED COMMUNICATION BETWEEN CLIENT STATIONS |
| | Express Mail Label No. | EK102705632US |

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| APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents. | ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231 |
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| 1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original, and a duplicate for fee processing)</i> 2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27 3. <input checked="" type="checkbox"/> Specification [Total Pages 25] <i>(preferred arrangement set forth below)</i> - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 10] 5. Oath or Declaration [Total Pages 1] a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/division with Box 18 completed)</i> i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b) 6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76 | 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i> a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies |
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| ACCOMPANYING APPLICATIONS PARTS 9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of <i>(when there is an assignee)</i> Attorney 11. <input type="checkbox"/> English Translation Document (if applicable) 12. <input type="checkbox"/> Information Disclosure <input type="checkbox"/> Copies of IDS Statement (IDS)/PTO-1449 Citations 13. <input type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i> 15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i> 16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122 (b)(2)(B)(I). Applicant must attach form PTO/SB/35 or its equivalent. 17. <input checked="" type="checkbox"/> Other: Publication Form |
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18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. **60/189,567 (Provisional)**

Prior application information: Examiner _____ Group / Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

| | | | | | |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------|-----------|----------------|----------|----------------|
| 18. CORRESPONDENCE ADDRESS <input type="checkbox"/> Customer Number or Bar Code Label <input checked="" type="checkbox"/> Correspondence address below | | | | | |
| Name | FULBRIGHT & JAWORSKI L.L.P. Edward D. Steakley | | | | |
| Address | 1301 McKinney, Suite 5100 | | | | |
| City | Houston | State | | Zip Code | 77010-3095 |
| Country | | Telephone | (713) 651-5151 | Fax | (713) 651-5246 |

| | | | |
|-------------------|---------------------------|-----------------------------------|----------------|
| Name (Print/Type) | Edward D. Steakley | Registration No. (Attorney/Agent) | P-47,964 |
| Signature | <i>Edward D. Steakley</i> | Date | March 15, 2001 |

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|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| Transmittal-New Utility Patent Application I hereby certify that this document is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EK102705632US, in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, DC 20231, on the date shown below. Dated: 3-15-2001 Signature: <i>Beth J. Cobb</i> BETH J. COBB | |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

Complete if Known

| | |
|----------------------|--------------------------|
| Application Number | Not Yet Assigned |
| Filing Date | |
| First Named Inventor | P. Read Montague, et al. |
| Examiner Name | Not Yet Assigned |
| Group Art Unit | Not Yet Assigned |
| Attorney Docket No. | P01963US1 |

TOTAL AMOUNT OF PAYMENT (\$) 898.00

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: Order No. 06-2375/10021271

Deposit Account Name: Fulbright & Jaworski L.L.P.

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 ☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed
☐ Check ☐ Credit Card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity | Small Entity | Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | Fee Paid |
|-------------------|--------------|----------|----------|----------|----------|------------------------|----------|
| | | 101 | 710 | 201 | 355 | Utility filing fee | 710.00 |
| | | 106 | 320 | 206 | 160 | Design filing fee | |
| | | 107 | 490 | 207 | 245 | Plant filing fee | |
| | | 108 | 710 | 208 | 355 | Reissue filing fee | |
| | | 114 | 150 | 214 | 75 | Provisional filing fee | |
| SUBTOTAL (1) (\$) | | | | | | | 710.00 |

2. EXTRA CLAIM FEES

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| 26 | -20** = 6 | 18.00 | 108.00 |
| Independent Claims | 4 | -3** = 1 | 80.00 |
| Multiple Dependent | | | 188.00 |

Large Entity Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description |
|----------|----------|----------|----------|------------------------------------------------------------|
| 103 | 18 | 203 | 9 | Claims in excess of 20 |
| 102 | 80 | 202 | 40 | Independent claims in excess of 3 |
| 104 | 270 | 204 | 135 | Multiple dependent claim, if not paid |
| 109 | 80 | 209 | 40 | ** Reissue independent claims over original patent |
| 110 | 18 | 210 | 9 | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity | Small Entity | Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | Fee Paid |
|--------------|--------------|----------|----------|----------|----------|----------------------------------------------------------------------------|----------|
| 105 | 130 | 205 | 65 | | | Surcharge - late filing fee or oath | |
| 127 | 50 | 227 | 25 | | | Surcharge - late provisional filing fee or cover sheet | |
| 139 | 130 | 139 | 130 | | | Non-English specification | |
| 147 | 2,520 | 147 | 2,520 | | | For filing a request for ex parte reexamination | |
| 112 | 920* | 112 | 920* | | | Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840* | 113 | 1,840* | | | Requesting publication of SIR after Examiner action | |
| 115 | 110 | 215 | 55 | | | Extension for reply within first month | |
| 116 | 390 | 216 | 195 | | | Extension for reply within second month | |
| 117 | 890 | 217 | 445 | | | Extension for reply within third month | |
| 118 | 1,390 | 218 | 695 | | | Extension for reply within fourth month | |
| 128 | 1,890 | 228 | 945 | | | Extension for reply within fifth month | |
| 119 | 310 | 219 | 155 | | | Notice of Appeal | |
| 120 | 310 | 220 | 155 | | | Filing a brief in support of an appeal | |
| 121 | 270 | 221 | 135 | | | Request for oral hearing | |
| 138 | 1,510 | 138 | 1,510 | | | Petition to institute a public use proceeding | |
| 140 | 110 | 240 | 55 | | | Petition to revive - unavoidable | |
| 141 | 1,240 | 241 | 620 | | | Petition to revive - unintentional | |
| 142 | 1,240 | 242 | 620 | | | Utility issue fee (or reissue) | |
| 143 | 440 | 243 | 220 | | | Design issue fee | |
| 144 | 600 | 244 | 300 | | | Plant issue fee | |
| 122 | 130 | 122 | 130 | | | Petitions to the Commissioner | |
| 123 | 50 | 123 | 50 | | | Processing fee under 37 CFR 1.17(q) | |
| 126 | 180 | 126 | 180 | | | Submission of Information Disclosure Stmt | |
| 581 | 40 | 581 | 40 | | | Recording each patent assignment per property (times number of properties) | |
| 146 | 710 | 246 | 355 | | | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 149 | 710 | 249 | 355 | | | For each additional invention to be examined (37CFR 1.129(b)) | |
| 179 | 710 | 279 | 355 | | | Request for Continued Examination (RCE) | |
| 169 | 900 | 169 | 900 | | | Request for expedited examination of a design application | |

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

SUBMITTED BY

| | | | | | |
|-------------------|--------------------|-----------------------------------|----------|-----------|----------------|
| Name (print/type) | Edward D. Steakley | Registration No. (Attorney/Agent) | P-47,964 | Telephone | (713) 651-5423 |
| Signature | Edward D. Steakley | | | Date | March 15, 2001 |

Fee Transmittal

I hereby certify that this document is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EK102705632US, in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, DC 20231, on the date shown below.
Dated: 3-15-2001 Signature: Beth J. Cobb (BETH J COBB)

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**REQUEST AND CERTIFICATION
UNDER
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor P. Read Montague, et al

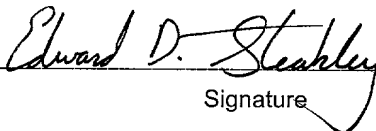
Title METHOD AND SYSTEM FOR LINKED
COMMUNICATION BETWEEN CLIENT STATIONS

Atty Docket Number P01963US1

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

March 15, 2001

Date



Signature

Edward D. Steakley

Typed or printed name

Reg. No. P047,964

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.